

08-28-01

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PTO/SB/05 (03-01)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 20757
First Inventor Jean Ackerman et al.
Title 2,3-OXIDOSQUALENE-LANOSTEROL
Express Mail Label No. ELI912160429US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 87]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 00151 or ☐ Correspondence address below

Name _____
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Name (Print/Type) F. Aaron Dubberley Registration No. (Attorney/Agent) 41001
Signature _____ Date 08/27/2001

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Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Hoffmann-La Roche Inc.
340 Kingsland Street
Nutley, NJ 07110
Case Docket 20757
August 27, 2001

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): Jean Ackermann, Johannes Aebi, Alexander Chucholowski, Henrietta Dehmlow,
Olivier Morand, Sabine Wallbaum, Thomas Weller

For: 2,3-OXIDOSQUALENE-LANOSTEROL CYCLASE INHIBITORS

1. The fee has been calculated as shown below:

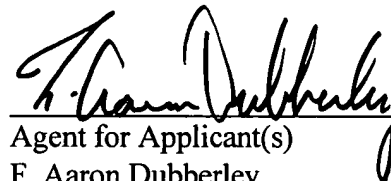
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FOR	NO. FILED	NO. EXTRA	RATE	FEE
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INDEP. CLAIMS	2 - 3	0	x \$80	---
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$270	
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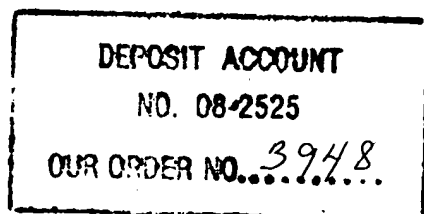
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George W. Johnston
Hoffmann-La Roche Inc.
340 Kingsland Street
Nutley, NJ 07110


Agent for Applicant(s)
F. Aaron Dubberley
(Reg. No. 41001)

113361



Certification under 37 CFR 1.10

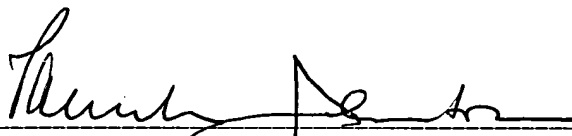
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on August 27, 2001

Date


Signature

Pamela Johnston

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Submitted for Case Docket 20757 US: Patent Application Transmittal Form, Application Data Sheet, Fee Transmittal Form, Specification

INVENTOR INFORMATION

Inventor One Given Name:: Jean
Family Name:: Ackermann
Postal Address Line One:: 16 Im Gloegglihof
City:: CH-4125 Riehen
Country:: Switzerland
Citizenship Country:: Switzerland
Inventor Two Given Name:: Johannes
Family Name:: Aebi
Postal Address Line One:: 13 Wallstrasse
City:: CH-4501 Basle
Country:: Switzerland
Citizenship Country:: Switzerland
Inventor Three Given Name:: Alexander
Family Name:: Chucholowski
Postal Address Line One:: 4978 Almondwood Way
City:: San Diego
State or Province:: California
Country:: United States of America
Postal or Zip Code:: 92130
Citizenship Country:: Germany
Inventor Four Given Name:: Henrietta
Family Name:: Dehmlow
Postal Address Line One:: 85 Ritterstrasse
City:: D-79639 Grenzach-Wyhlen
Country:: Germany
Citizenship Country:: Germany
Inventor Five Given Name:: Olivier
Family Name:: Morand
Postal Address Line One:: 2, rue de Vignes
City:: F-68220 Hegenheim
Country:: France
Citizenship Country:: France
Inventor Six Given Name:: Sabine
Family Name:: Wallbaum
Postal Address Line One:: 51 Koenigsberger Strasse
City:: D-73760 Ostfildern
Country:: Germany
Citizenship Country:: Germany
Inventor Seven Given Name:: Thomas
Family Name:: Weller
Postal Address Line One:: 32b Hoelzlistrasse
City:: CH-4102 Binningen
Country:: Switzerland
Citizenship Country:: Switzerland

CORRESPONDENCE INFORMATION

